



International
Civil Aviation
Organization

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Международная
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гражданской
авиации

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Tel.: +1 (514) 954-6757

Ref.: AN 11/1.3.27-14/9

7 April 2014

Subject: Adoption of Amendment 38 to Annex 6, Part I

Action required: a) Notify any disapproval before 14 July 2014; b) Notify any differences and compliance before 13 October 2014; c) Consider the use of the Electronic Filing of Differences System (EFOD) for notification of differences and compliance

Sir/Madam,

1. I have the honour to inform you that Amendment 38 to the *International Standards and Recommended Practices, Operation of Aircraft — International Commercial Air Transport — Aeroplanes* (Annex 6, Part I to the Convention on International Civil Aviation) was adopted by the Council at the fourth meeting of its 201st Session on 3 March 2014. Copies of the Amendment and the Resolution of Adoption are available as attachments to the electronic version of this State letter on the ICAO-NET (<http://portal.icao.int>) where you can access all other relevant documentation.

2. When adopting the amendment, the Council prescribed 14 July 2014 as the date on which it will become effective, except for any part concerning which a majority of Contracting States have registered their disapproval before that date. In addition, the Council resolved that Amendment 38, to the extent it becomes effective, will become applicable on 13 November 2014.

3. Amendment 38 arises from:

- a) a proposal developed by the Secretariat to introduce provisions regarding upset prevention and recovery training (UPRT) for aeroplane pilots;
- b) recommendations of the seventh, eighth, ninth, tenth and eleventh meetings of the Instrument Flight Procedures Panel Working Group of the Whole (IFPP/WG/WHL/7, 8, 9, 10 and 11) relating to procedure design criteria and charting requirements to support performance-based navigation (PBN);

- c) recommendations of the fifteenth meeting of the Operations Panel Working Group of the Whole (OPSP/WG/WHL/15) relating to harmonization of provisions in Part I with Part II, electronic flight bags (EFB), dangerous goods, head-up displays (HUD) and visions systems, and fuel use provisions; and
- d) recommendations of the fifth meeting of the Flight Recorder Panel Working Group of the Whole (FLIRECP/WG/WHL/5) relating to various issues associated with the carriage requirements for flight recorders.

4. The amendment concerning UPRT is to mitigate loss of control events through upset prevention and recovery training provisions supported by guidance material. In Annex 6, Part I, the proposal is to introduce a requirement for UPRT in the flight crew member training programmes.

5. The amendment on charting requirements to support PBN is to avoid confusion regarding inconsistencies with the aeronautical charts, the PBN operational approvals and the avionics displays. The amendment identifies the need for pilots to receive training in chart depiction standards.

6. The amendment concerning harmonization of provisions, EFBs, dangerous goods, HUDs and visions systems, and fuel use provisions addresses the following:

- a) *Harmonization of applicability, terms and language across Annex 6, Parts I and II.* A side-by-side comparison of Annex 6, Parts I and II provisions was completed by the Operations Panel (OPSP) in which editorial and contextual differences were identified. The provisions with embedded dates that are no longer relevant and those needing minor wording or format changes have been addressed and are presented in this amendment. Provisions that require further analysis will be presented in the next amendment cycle to Annex 6 together with the revision of Annex 6, Part III which is still on-going;
- b) *Electronic flight bags (EFB).* The SARPs for the use of electronic flight bags are based on best practices in States and industry. They are divided into three areas relating to equipment (hardware), functions (software) and criteria for operational approval. Furthermore, a clear distinction is made between the EFB functions that may be used to supplement requirements and those that may replace them. It is envisaged that these provisions will be the baseline for the transition to a paperless environment on the flight deck;
- c) *Dangerous goods.* The amendment relating to dangerous goods reinforces provisions in Annex 18 — *The Safe Transport of Dangerous Goods by Air* to better define the responsibilities of the State of the Operator in relation to the transport of dangerous goods on aircraft. This issue was initially raised as a result of information received through audits conducted by the Universal Safety Oversight Audit Programme (USOAP). Evidence from the results of audits suggested that there often were no provisions in State regulations addressing the requirements for operators which were not approved to transport dangerous goods;
- d) *Head-up displays/enhanced/synthetic/combined vision systems (HUDs/EVS/SVS/CVS).* A review of existing operational provisions for enhanced vision system (EVS) and emerging similar systems, like the synthetic vision system (SVS) and

combinations called combined vision systems (CVS) was directed at addressing how capabilities on-board aircraft could compensate for fewer facilities on the ground.

The amendment addresses the criteria for States to use in granting operational credit approvals to operators that have aircraft equipped with capabilities which compensate for fewer ground facilities. Amendments to Attachment I are to facilitate the operational approval process for States. Additional guidance material will be included in the fourth edition of the *Manual of All-Weather Operations* (Doc 9365); and

- e) *Fuel use*. The OPSP conducted a comprehensive analysis addressing the balance that must exist between planned and actual fuel use. The amendments clarify and expand on the considerations that need to be taken into account if contingency fuel is to be used prior to take-off.

7. The amendment concerning flight recorders is to address the problems experienced with the availability and recovery of flight data during investigation of recent accidents taking the latest technology into consideration.

8. The subjects are given in the amendment to the Foreword of Annex 6, Part I, a copy of which is in Attachment A.

9. In conformity with the Resolution of Adoption, may I request:

- a) that before 14 July 2014 you inform me if there is any part of the adopted Standards and Recommended Practices (SARPs) amendments in Amendment 38 concerning which your Government wishes to register disapproval, using the form in Attachment B for this purpose. Please note that only statements of disapproval need be registered and if you do not reply it will be assumed that you do not disapprove of the amendment;
- b) that before 13 October 2014 you inform me of the following, using the form in Attachment C for this purpose:
 - 1) any differences that will exist on 13 November 2014 between the national regulations or practices of your Government and the provisions of the whole of Annex 6, Part I, as amended by all amendments up to and including Amendment 38, and thereafter of any further differences that may arise; and
 - 2) the date or dates by which your Government will have complied with the provisions of the whole of Annex 6, Part I, as amended by all amendments up to and including Amendment 38.

10. With reference to the request in paragraph 9 a) above, it should be noted that a registration of disapproval of Amendment 38 or any part of it in accordance with Article 90 of the Convention does not constitute a notification of differences under Article 38 of the Convention. To comply with the latter provision, a separate statement is necessary if any differences do exist, as requested in paragraph 9 b) 1). It is recalled in this respect that international Standards in Annexes have a conditional binding force, to the extent that the State or States concerned have not notified any difference thereto under Article 38 of the Convention.

11. With reference to the request in paragraph 9 b) above, it should be also noted that the Council, at the third meeting of its 192nd Session on 4 March 2011, agreed that pending the development of a concrete policy and operational procedures governing the use of EFOD, this system be used as an alternative means for filing of differences to all Annexes, except for Annex 9 — *Facilitation* and Annex 17 — *Security — Safeguarding International Civil Aviation against Acts of Unlawful Interference*. EFOD is currently available on the USOAP restricted website (<http://www.icao.int/usoap>) which is accessible by all Member States (AN 1/1-11/28 refers) and you are invited to consider using this for notification of compliance and differences.

12. Guidance on the determination and reporting of differences is given in the Note on the Notification of Differences in Attachment D.

13. Please note that a detailed repetition of previously notified differences, if they continue to apply, may be avoided by stating the current validity of such differences.

14. I would appreciate it if you would also send a copy of your notifications, referred to in paragraph 9 b) above, to the ICAO Regional Office accredited to your Government.

15. As soon as practicable after the amendment becomes effective, on 14 July 2014, replacement pages incorporating Amendment 38 will be forwarded to you.

Accept, Sir/Madam, the assurances of my highest consideration.



Raymond Benjamin
Secretary General

Enclosures:

- A — Amendment to the Foreword of Annex 6, Part I
- B — Form on notification of disapproval of all or part of Amendment 38 to Annex 6, Part I
- C — Form on notification of compliance with or differences from Annex 6, Part I, Amendment 38
- D — Note on the Notification of Differences

ATTACHMENT A to State letter AN 11/1.3.27-14/9

AMENDMENT TO THE FOREWORD OF ANNEX 6, PART I

Add the following at the end of Table A:

<i>Amendment</i>	<i>Source(s)</i>	<i>Subject</i>	<i>Adopted/Approved Effective Applicable</i>
38	Secretariat; seventh, eighth, ninth, tenth and eleventh meetings of the Instrument Flight Procedures Panel Working Group of the Whole (IFPP/WG-WHL/7, 8, 9, 10 and 11); fifteenth meeting of the Operations Panel Working Group of the Whole (OPSP/WG/WHL/15); fifth meeting of the Flight Recorder Panel Working Group of the Whole (FLIRECP/WG/WHL/5)	Amendment concerning: a) upset prevention and recovery training; b) procedure design criteria and charting requirements to support PBN as well as helicopter PinS approach and departure operations; c) harmonization of provisions, EFBs, dangerous goods, HUDs and visions systems, and fuel use provisions; d) flight recorder requirements for the containers of automatic deployable flight recorders (ADFR); to reference updated EUROCAE Minimum Operational Performance Specifications (MOPS); for the use of Class C lightweight airborne image recording systems (AIRS); and for less stringent inspection requirements of flight recorder systems.	3 March 2014 14 July 2014 13 November 2014

ATTACHMENT B to State letter AN 11/1.3.27-14/9

**NOTIFICATION OF DISAPPROVAL OF ALL OR PART OF
AMENDMENT 38 TO ANNEX 6, PART I**

To: The Secretary General
International Civil Aviation Organization
999 University Street
Montreal, Quebec
Canada H3C 5H7

(State) _____ hereby wishes to disapprove the following parts of
Amendment 38 to Annex 6, Part I:

Signature _____

Date _____

NOTES

- 1) If you wish to disapprove all or part of Amendment 38 to Annex 6, Part I, please dispatch this notification of disapproval to reach ICAO Headquarters by 14 July 2014. If it has not been received by that date it will be assumed that you do not disapprove of the amendment. **If you approve of all parts of Amendment 38, it is not necessary to return this notification of disapproval.**
- 2) This notification should not be considered a notification of compliance with or differences from Annex 6, Part I. Separate notifications on this are necessary. (See Attachment C.)
- 3) Please use extra sheets as required.

ATTACHMENT C to State letter AN 11/1.3.27-14/9

**NOTIFICATION OF COMPLIANCE WITH OR DIFFERENCES FROM
ANNEX 6, PART I**

(Including all amendments up to and including Amendment 38)

To: The Secretary General
International Civil Aviation Organization
999 University Street
Montreal, Quebec
Canada H3C 5H7

1. No differences will exist on _____ between the national regulations and/or practices of **(State)** _____ and the provisions of Annex 6, Part I, including all amendments up to and including Amendment 38.

2. The following differences will exist on _____ between the regulations and/or practices of **(State)** _____ and the provisions of Annex 6, Part I, including Amendment 38 (Please see Note 3) below.)

a) Annex Provision (Please give exact paragraph reference)	b) Difference Category (Please indicate A, B, or C)	c) Details of Difference (Please describe the difference clearly and concisely)	d) Remarks (Please indicate reasons for the difference)
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(Please use extra sheets as required)

3. By the dates indicated below, **(State)** _____ will have complied with the provisions of Annex 6, Part I, including all amendments up to and including Amendment 38 for which differences have been notified in 2 above.

a) Annex Provision (Please give exact paragraph reference)	b) Date	c) Comments
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(Please use extra sheets as required)

Signature _____

Date _____

NOTES

- 1) If paragraph 1 above is applicable to you, please complete paragraph 1 and return this form to ICAO Headquarters. If paragraph 2 is applicable to you, please complete paragraphs 2 and 3 and return the form to ICAO Headquarters.
- 2) Please dispatch the form to reach ICAO Headquarters by 13 October 2014.
- 3) A detailed repetition of previously notified differences, if they continue to apply, may be avoided by stating the current validity of such differences.
- 4) Guidance on the notification of differences from Annex 6, Part I is provided in the Note on the Notification of Differences at Attachment D.
- 5) Please send a copy of this notification to the ICAO Regional Office accredited to your Government.

**NOTE ON THE NOTIFICATION OF DIFFERENCES TO ANNEX 6, PART I
AND FORM OF NOTIFICATION**

(Prepared and issued in accordance with instructions of the Council)

1. *Introduction*

1.1 The Assembly and the Council, when reviewing the notification of differences by States in compliance with Article 38 of the Convention, have repeatedly noted that the state of such reporting is not entirely satisfactory.

1.2 With a view to achieving a more comprehensive coverage, this note is issued to facilitate the determination and reporting of such differences and to state the primary purpose of such reporting.

1.3 The primary purpose of reporting of differences is to promote safety and efficiency in air navigation by ensuring that governmental and other agencies, including operators and service providers, concerned with international civil aviation are made aware of all national regulations and practices in so far as they differ from those prescribed in the ICAO Standards.

1.4 Contracting States are, therefore, requested to give particular attention to the notification before 13 October 2014 of differences with respect to Standards in Annex 6, Part I. The Council has also urged Contracting States to extend the above considerations to Recommended Practices.

1.5 Contracting States are asked to note further that it is necessary to make an explicit statement of intent to comply where such intent exists, or where such is not the intent, of the difference or differences that will exist. This statement should be made not only to the latest amendment but to the whole Annex, including the amendment.

1.6 If previous notifications have been made in respect of this Annex, detailed repetition may be avoided, if appropriate, by stating the current validity of the earlier notification. States are requested to provide updates of the differences previously notified after each amendment, as appropriate, until the difference no longer exists.

2. *Notification of differences to Annex 6, Part I, including Amendment 38*

2.1 Past experience has indicated that the reporting of differences to Annex 6, Part I has in some instances been too extensive since some appear merely to be a different manner of expressing the same intent.

2.2 Guidance to Contracting States in the reporting of differences to Annex 6, Part I can only be given in very general terms. Where the national regulations of States call for compliance with procedures that are not identical but essentially similar to those contained in the Annex, no difference should be reported since the details of the procedures existing are the subject of notification through the medium of aeronautical information publications. Although differences to Recommended Practices are not notifiable under Article 38 of the Convention, Contracting States are urged to notify the Organization of the differences between their national regulations and practices and any corresponding Recommended Practices contained in an Annex. States should categorize each difference notified on the basis of whether the corresponding national regulation is:

- a) ***More exacting or exceeds the ICAO Standard or Recommended Practice (SARP) (Category A)***. This category applies when the national regulation is more demanding than the corresponding SARP, or imposes an obligation within the scope of the Annex which is not covered by a SARP. This is of particular importance where a State requires a higher standard which affects the operation of aircraft of other Contracting States in and above its territory;
- b) ***Different in character or other means of compliance (Category B)****. This category applies when the national regulation is different in character from the corresponding ICAO SARP, or when the national regulation differs in principle, type or system from the corresponding SARP, without necessarily imposing an additional obligation; and
- c) ***Less protective or partially implemented/not implemented (Category C)***. This category applies when the national regulation is less protective than the corresponding SARP; or when no national regulation has been promulgated to address the corresponding SARP, in whole or in part.

2.3 When a Contracting State deems an ICAO Standard concerning aircraft, operations, equipment, personnel, or air navigation facilities or services to be not applicable to the existing aviation activities of the State, notification of a difference is not required. For example, a Contracting State that is not a State of Design or Manufacture and that does not have any national regulations on the subject, would not be required to notify differences to Annex 8 provisions related to the design and construction of an aircraft.

2.4 For States that have already fully reported differences from Annex 6, Part I or have reported that no differences exist, the reporting of any further differences occasioned by the amendment should be relatively straightforward; however, attention is called to paragraph 1.5 wherein it is indicated that this statement should be not only to the latest amendment but to the whole Annex, including the amendment.

3. *Form of notification of differences*

3.1 Differences should be notified in the following form:

- a) ***Reference***: The number of the paragraph or subparagraph in Annex 6, Part I as amended which contains the Standard or Recommended Practice to which the difference relates;
- b) ***Category***: Indicate the category of the difference as A, B or C in accordance with paragraph 2.2 above;

* The expression “different in character or other means of compliance” in b) would be applied to a national regulation which achieves, by other means, the same objective as that of the corresponding ICAO SARPs and so cannot be classified under a) or c).

- c) *Description of the difference:* Clearly and concisely describe the difference and its effect; and
- d) *Remarks:* Under “Remarks” indicate reasons for the difference and intentions including any planned date for implementation.

3.2 The differences notified will be recorded in a Supplement to the Annex, normally in the terms used by the Contracting State when making the notification. In the interest of making the supplement as useful as possible, please make statements as clear and concise as possible and confine remarks to essential points. Comments on implementation, in accordance with paragraph 4 b) 2) of the Resolution of Adoption, should not be combined with those concerning differences. The provision of extracts from national regulations cannot be considered as sufficient to satisfy the obligation to notify differences. General comments that do not relate to specific differences will not be published in Supplements.

— END —

AMENDMENT No. 38

TO THE

**INTERNATIONAL STANDARDS
AND RECOMMENDED PRACTICES**

OPERATION OF AIRCRAFT

ANNEX 6

TO THE CONVENTION ON INTERNATIONAL CIVIL AVIATION

PART I

INTERNATIONAL COMMERCIAL AIR TRANSPORT — AEROPLANES

The amendment to Annex 6, Part I, contained in this document was adopted by the Council of ICAO on **3 March 2014**. Such parts of this amendment as have not been disapproved by more than half of the total number of Contracting States on or before **14 July 2014** will become effective on that date and will become applicable on **13 November 2014** as specified in the Resolution of Adoption. (State letter AN 11/1.3.27-14/9 refers.)

MARCH 2014

INTERNATIONAL CIVIL AVIATION ORGANIZATION

**AMENDMENT 38 TO THE INTERNATIONAL STANDARDS AND
RECOMMENDED PRACTICES**

**ANNEX 6 — OPERATION OF AIRCRAFT
PART I — INTERNATIONAL COMMERCIAL AIR TRANSPORT — AEROPLANES**

RESOLUTION OF ADOPTION

The Council

Acting in accordance with the Convention on International Civil Aviation, and particularly with the provisions of Articles 37, 54 and 90 thereof,

1. *Hereby adopts* on 3 March 2014 Amendment 38 to the International Standards and Recommended Practices contained in the document entitled *International Standards and Recommended Practices, Operation of Aircraft, International Commercial Air Transport — Aeroplanes* which for convenience is designated Annex 6, Part I to the Convention;

2. *Prescribes* 14 July 2014 as the date upon which the said amendment shall become effective, except for any part thereof in respect of which a majority of the Contracting States have registered their disapproval with the Council before that date;

3. *Resolves* that the said amendment or such parts thereof as have become effective shall become applicable on 13 November 2014;

4. *Requests the Secretary General:*

a) to notify each Contracting State immediately of the above action and immediately after 14 July 2014 of those parts of the amendment which have become effective;

b) to request each Contracting State:

1) to notify the Organization (in accordance with the obligation imposed by Article 38 of the Convention) of the differences that will exist on 13 November 2014 between its national regulations or practices and the provisions of the Standards in the Annex as hereby amended, such notification to be made before 13 October 2014, and thereafter to notify the Organization of any further differences that arise;

2) to notify the Organization before 13 October 2014 of the date or dates by which it will have complied with the provisions of the Standards in the Annex as hereby amended;

c) to invite each Contracting State to notify additionally any differences between its own practices and those established by the Recommended Practices, when the notification of such differences is important for the safety of air navigation, following the procedure specified in subparagraph b) above with respect to differences from Standards.

**NOTES ON THE PRESENTATION OF THE
AMENDMENT TO ANNEX 6, PART I**

The text of the amendment is arranged to show deleted text with a line through it and new text highlighted with grey shading, as shown below:

~~Text to be deleted is shown with a line through it.~~

text to be deleted

New text to be inserted is highlighted with grey shading.

new text to be inserted

~~Text to be deleted is shown with a line through it~~ followed
by the replacement text which is highlighted with grey
shading.

new text to replace existing text

TEXT OF AMENDMENT 38

TO THE

INTERNATIONAL STANDARDS
AND RECOMMENDED PRACTICES

OPERATION OF AIRCRAFT

ANNEX 6

TO THE CONVENTION ON INTERNATIONAL CIVIL AVIATION

PART I

INTERNATIONAL COMMERCIAL AIR TRANSPORT —
AEROPLANES

...

ABBREVIATIONS AND SYMBOLS
(used in this Annex)

Abbreviations

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CVS	Combined vision system
COMAT	Operator material
EFB	Electronic flight bag
EUROCAE	European Organisation for Civil Aviation Equipment
LED	Light emitting diode
NVIS	Night vision imaging systems
RTCA	Radio Technical Commission for Aeronautics
SVS	Synthetic vision system

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PUBLICATIONS
(referred to in this Annex)

...

Manual on Electronic Flight Bags (Doc xxxx)

...

CHAPTER 1. DEFINITIONS

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Combined vision system (CVS). A system to display images from a combination of an enhanced vision system (EVS) and a synthetic vision system (SVS).

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COMAT. Operator material carried on an operator's aircraft for the operator's own purposes.

...

Electronic flight bag (EFB). An electronic information system, comprised of equipment and applications, for flight crew which allows for storing, updating, displaying and processing of EFB functions to support flight operations or duties.

...

Enhanced vision system (EVS). A system to display electronic real-time images of the external scene achieved through the use of image sensors.

Note.— EVS does not include night vision imaging systems (NVIS).

...

State of the Aerodrome. The State in whose territory the aerodrome is located.

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Synthetic vision system (SVS). A system to display data-derived synthetic images of the external scene from the perspective of the flight deck.

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CHAPTER 3. GENERAL

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Editorial note.— Delete paragraph 3.4 in toto and re-number subsequent paragraphs accordingly.

~~3.4 Dangerous goods~~

~~— Note 1.— Provisions for carriage of dangerous goods are contained in Annex 18.~~

~~— Note 2.— Article 35 of the Convention refers to certain classes of cargo restrictions.~~

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CHAPTER 4. FLIGHT OPERATIONS

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4.2 Operational certification and supervision

4.2.1 The air operator certificate

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4.2.1.3.1 An operator shall develop policies and procedures for third parties that perform work on its behalf.

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4.2.1.5 The air operator certificate shall contain at least the following information and ~~1 January 2010~~ shall follow the layout of Appendix 6, paragraph 2:

...

4.2.1.6 The operations specifications associated with the air operator certificate shall contain at least the information listed in Appendix 6, paragraph 3, and, ~~from 1 January 2010~~, shall follow the layout of Appendix 6, paragraph 3.

...

4.2.8 Aerodrome operating minima

4.2.8.1 The State of the Operator shall require that the operator establish aerodrome operating minima for each aerodrome to be used in operations and shall approve the method of determination of such minima. Such minima shall not be lower than any that may be established for such aerodromes by the State of the Aerodrome ~~in which the aerodrome is located~~, except when specifically approved by that State.

~~Note 1.— This Standard does not require the State of the Aerodrome in which the aerodrome is located to establish aerodrome operating minima.~~

~~Note 2.— The use of head up displays (HUD) or enhanced vision systems (EVS) may allow operations with lower visibilities than normally associated with the aerodrome operating minima.~~

4.2.8.1.1 The State of the Operator may approve operational credit(s) for operations with aeroplanes equipped with automatic landing systems, a HUD or equivalent displays, EVS, SVS or CVS. Such approvals shall not affect the classification of the instrument approach procedure.

Note 1.— Operational credit includes:

a) for the purposes of an approach ban (4.4.1.2), a minima below the aerodrome operating minima;

b) reducing or satisfying the visibility requirements; or

c) requiring fewer ground facilities as compensated for by airborne capabilities.

Note 2.— Guidance on operational credit for aircraft equipped with automatic landing systems, a HUD or equivalent displays, EVS, SVS and CVS is contained in Attachment I and in the Manual of All-Weather Operations (Doc 9365).

Note 3.— Information regarding a HUD or equivalent displays, including references to RTCA and EUROCAE documents, is contained in the Manual of All-Weather Operations (Doc 9365).

4.2.8.2 The State of the Operator shall require that in establishing the aerodrome operating minima which will apply to any particular operation, full account shall be taken of:

...

- e) the equipment available on the aeroplane for the purpose of navigation, acquisition of visual references and/or control of the flight path during the approach to, landing and the missed approach;

...

4.3 Flight preparation

4.3.1 A flight shall not be commenced until flight preparation forms have been completed certifying that the pilot-in-command is satisfied that:

- a) the aeroplane is airworthy and the appropriate certificates (i.e. airworthiness, registration) are on board the aeroplane;

...

4.3.6 Fuel requirements

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4.3.6.3 The pre-flight calculation of usable fuel required shall include:

- a) *taxi fuel*, which shall be the amount of fuel expected to be consumed before take-off taking into account local conditions at the departure aerodrome and auxiliary power unit (APU) fuel consumption;

...

- c) *contingency fuel*, which shall be the amount of fuel required to compensate for unforeseen factors. It shall be five per cent of the planned trip fuel or of the fuel required from the point of in-flight re-planning based on the consumption rate used to plan the trip fuel but, in any case, shall not be lower than the amount required to fly for five minutes at holding speed at 450 m (1 500 ft) above the destination aerodrome in standard conditions;

Note.— Unforeseen factors are those which could have an influence on the fuel consumption to the destination aerodrome, such as deviations of an individual aeroplane from the expected fuel consumption data, deviations from forecast meteorological conditions, extended delays—taxi times before take-off, and deviations from planned routings and/or cruising levels.

...

4.3.6.7 The use of fuel after flight commencement for purposes other than originally intended during pre-flight planning shall require a re-analysis and, if applicable, adjustment of the planned operation.

Note.— Guidance on procedures for in-flight fuel management including re-analysis, adjustment and/or re-planning considerations when a flight begins to consume contingency fuel before take-off is contained in the Flight Planning and Fuel Management Manual (Doc 9976).

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4.3.7 In-flight fuel management

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4.3.7.2 The pilot-in-command shall continually ensure that the amount of usable fuel remaining on board is not less than the fuel required to proceed to an aerodrome where a safe landing can be made with the planned final reserve fuel remaining upon landing.

Note.— The protection of final reserve fuel is intended to ensure a safe landing at any aerodrome when unforeseen occurrences may not permit safe completion of an operation as originally planned. Guidance on flight planning including the circumstances that may require re-analysis, adjustment and/or re-planning of the planned operation before take-off or en-route, is contained in the Flight Planning and Fuel Management Manual (Doc 9976).

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4.4 In-flight procedures

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4.4.8 Instrument flight procedures

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4.4.8.2 All aeroplanes operated in accordance with instrument flight rules shall comply with the instrument flight procedures approved by the State in which the aerodrome is located.

Note 1.— Definitions for the classification of instrument approach and landing operations are in Chapter 1.

Note 2.— Operational procedures recommended for the guidance of operations personnel involved in instrument flight operations are described in PANS-OPS (Doc 8168), Volume I.

Note 3.— Criteria for the construction of instrument flight procedures for the guidance of procedure specialists are provided in PANS-OPS (Doc 8168), Volume II. Obstacle clearance criteria and procedures used in certain States may differ from PANS-OPS, and knowledge of these differences is important for safety reasons (see Chapter 3, 3.1.1).

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4.4.9 Aeroplane operating procedures for noise abatement

4.4.9.2 **Recommendation.**— *Noise abatement procedures specified by an operator for any one aeroplane type should be the same for all aerodromes.*

Note.— A single procedure may not satisfy requirements at some aerodromes.

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CHAPTER 6. AEROPLANE INSTRUMENTS, EQUIPMENT AND FLIGHT DOCUMENTS

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6.3 Flight recorders

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Note 4.— For aeroplanes for which the application for type certification is submitted to a Contracting State before 1 January 2016, specifications applicable to flight recorders may be found in EUROCAE ED-112, ED-56A, ED-55, Minimum Operational Performance Specifications (MOPS), or earlier equivalent documents.

Note 5.— For aeroplanes for which the application for type certification is submitted to a Contracting State on or after 1 January 2016, specifications applicable to flight recorders may be found in EUROCAE ED-112A, Minimum Operational Performance Specification (MOPS), or equivalent documents.

Note 6.— Specifications applicable to lightweight flight recorders may be found in EUROCAE ED 155, Minimum Operational Performance Specification (MOPS), or equivalent documents.

6.3.1 Flight data recorders and aircraft data recording systems

~~*Note 1.— FDR and AIR performance requirements are as contained in the EUROCAE ED-112, Minimum Operational Performance Specification (MOPS) for Crash Protected Airborne Recorder Systems, or equivalent documents.*~~

~~*Note 2.— ADRS performance requirements are as contained in the EUROCAE ED-155, Minimum Operational Performance Specification (MOPS) for Lightweight Flight Recording Systems, or equivalent documents.*~~

Editorial note.— Renumber subsequent Notes.

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Editorial note.— Note below has been amended and relocated to Note 2.

6.3.1.2 Operation

~~*Note.— Airborne image recorders (AIRs) classification is defined in 4.1 of Appendix 8.*~~

6.3.1.2.1 All turbine-engined aeroplanes of a maximum certificated take-off mass of 5 700 kg or less for which the application for type certification is submitted to a Contracting State on or after 1 January 2016 shall be equipped with:

- a) a Type II FDR; or
- b) a Class C AIR or AIRS capable of recording flight path and speed parameters displayed to the pilot(s); or
- c) an ADRS capable of recording the essential parameters defined in Table A8-3 of Appendix 8.

Note 1.— “The application for type certification is submitted to a Contracting State” refers to the date of application of the original “Type Certificate” for the aeroplane type, not the date of certification of particular aeroplane variants or derivative models.

Note 2.— ~~Airborne image recorders (AIRs)~~ or AIRS classification is defined in 4.1 of Appendix 8.

6.3.1.2.2 **Recommendation.**— All turbine-engined aeroplanes of a maximum certificated take-off mass of 5 700 kg or less for which the individual certificate of airworthiness is first issued on or after 1 January 2016 should be equipped with:

- a) a Type II FDR; or
- b) a Class C AIR or AIRS capable of recording flight path and speed parameters displayed to the pilot(s); or
- c) an ADRS capable of recording the essential parameters defined in Table A8-3 of Appendix 8.

...

6.3.1.3 Discontinuation

...

~~6.3.1.3.2 **Recommendation.**— The use of analogue FDRs using frequency modulation (FM) should be discontinued.~~

6.3.1.3.23 The use of analogue FDRs using frequency modulation (FM) shall be discontinued by 1 January 2012.

6.3.1.3.34 The use of photographic film FDRs shall be discontinued.

~~6.3.1.3.45 **Recommendation.**— The use of magnetic tape FDRs should be discontinued by 1 January 2011.~~

6.3.1.3.56 The use of magnetic tape FDRs shall be discontinued by 1 January 2016.

...

6.3.2 Cockpit voice recorders and cockpit audio recording systems

Note 1.— ~~CVR performance requirements are as contained in the EUROCAE ED-112, Minimum Operational Performance Specification (MOPS) for Crash Protected Airborne Recorder Systems, or equivalent documents.~~

Note 2.— ~~CARS performance requirements are as contained in the EUROCAE ED-155, Minimum Operational Performance Specification (MOPS) for Lightweight Flight Recording Systems, or equivalent documents.~~

...

6.3.2.2 *Discontinuation*

...

6.3.2.2.2 **Recommendation.**— *The use of magnetic tape and wire CVRs should be discontinued by 1 January 2011.*

...

6.3.3 Data link recorders

Note.— ~~Data link recorders performance requirements are as contained in the EUROCAE ED-112, Minimum Operational Performance Specifications (MOPS) for Crash Protected Airborne Recorder Systems, or equivalent documents.~~

...

6.3.4 Flight recorders — general

6.3.4.1 *Construction and installation*

Flight recorders shall be constructed, located and installed so as to provide maximum practical protection for the recordings in order that the recorded information may be preserved, recovered and transcribed. Flight recorders shall meet the prescribed crashworthiness and fire protection specifications.

Note 1.— ~~Industry crashworthiness and fire protection specifications for FDR, CVR, AIR and DLR are as contained in the EUROCAE ED-112, Minimum Operational Performance Specifications (MOPS) for Crash Protected Airborne Recorder Systems, or equivalent documents.~~

— *Note 2.*— ~~Industry crashworthiness and fire protection specifications for ADRS and CARS are as contained in the EUROCAE ED-155, Minimum Operational Performance Specifications (MOPS) for Lightweight Flight Recording Systems, or equivalent documents.~~

...

6.7 All aeroplanes on high altitude flights

...

6.7.3 Pressurized aeroplanes newly introduced into service on or after 1 July 1962 and intended to be operated at flight altitudes at which the atmospheric pressure is less than 376 hPa shall be equipped with a device to provide positive warning to the ~~pilot~~ flight crew of any dangerous loss of pressurization.

6.7.4 **Recommendation.**— *Pressurized aeroplanes introduced into service before 1 July 1962 and intended to be operated at flight altitudes at which the atmospheric pressure is less than 376 hPa should be equipped with a device to provide positive warning to the ~~pilot~~ flight crew of any dangerous loss of pressurization.*

...

6.10 All aeroplanes when operated at night

...

f) an ~~electric torch~~ independent portable light for each crew member station.

...

6.15 Aeroplanes required to be equipped with ground proximity warning systems (GPWS)

...

6.15.4 ~~From 1 January 2007, all~~ All turbine-engined aeroplanes of a maximum certificated take-off mass in excess of 5 700 kg or authorized to carry more than nine passengers shall be equipped with a ground proximity warning system which has a forward-looking terrain avoidance function.

...

6.15.6 ~~From 1 January 2007, all~~ All piston-engined aeroplanes of a maximum certificated take-off mass in excess of 5 700 kg or authorized to carry more than nine passengers shall be equipped with a ground proximity warning system which provides the warnings in 6.15.8 a) and c), warning of unsafe terrain clearance and a forward-looking terrain avoidance function.

...

6.17 Emergency locator transmitter (ELT)

...

6.17.2 Except as provided for in 6.17.3, ~~from 1 July 2008,~~ all aeroplanes authorized to carry more than 19 passengers shall be equipped with at least one automatic ELT or two ELTs of any type.

6.17.3 All aeroplanes authorized to carry more than 19 passengers for which the individual certificate of airworthiness is first issued after 1 July 2008 shall be equipped with at least two ELTs, one of which shall be automatic.

6.17.4 Except as provided for in 6.17.5, ~~from 1 July 2008,~~ all aeroplanes authorized to carry 19 passengers or less shall be equipped with at least one ELT of any type.

...

6.18 Aeroplanes required to be equipped with an airborne collision avoidance system (ACAS II)

~~6.18.1 From 1 January 2003, all turbine-engined aeroplanes of a maximum certificated take-off mass in excess of 15 000 kg or authorized to carry more than 30 passengers shall be equipped with an airborne collision avoidance system (ACAS II).~~

~~6.18.2~~ 6.18.1 ~~From 1 January 2005, all~~ All turbine-engined aeroplanes of a maximum certificated take-off mass in excess of 5 700 kg or authorized to carry more than 19 passengers shall be equipped with an airborne collision avoidance system (ACAS II).

...

6.19 Requirements for pressure-altitude reporting transponders

...

6.19.3 ~~After 1 January 2012, all~~ All aeroplanes shall be equipped with a data source that provides pressure-altitude information with a resolution of 7.62 m (25 ft), or better.

...

6.23 Aeroplanes equipped with automatic landing systems, a head-up displays (HUD) and/or or equivalent displays, enhanced vision systems (EVS), synthetic vision systems (SVS) and/or combined vision systems (CVS)

6.23.1 Where aeroplanes are equipped with automatic landing systems, a HUD and/or or equivalent displays, EVS, SVS or CVS, or any combination of those systems into a hybrid system, the use of such systems ~~to gain operational benefit~~ for the safe operation of an aeroplane shall be approved by the State of the Operator.

Note.— ~~Guidance on HUD and EVS is contained in Attachment I.~~

Note.— Information regarding a HUD or equivalent displays, including references to RTCA and EUROCAE documents, is contained in the Manual of All-Weather Operations (Doc 9365).

6.23.2 In approving the operational use of automatic landing systems, a HUD or equivalent displays, EVS, SVS or CVS, the State of the Operator shall ensure that:

- a) the equipment meets the appropriate airworthiness certification requirements;
- b) the operator has carried out a safety risk assessment of the operations supported by the automatic landing systems, a HUD or equivalent displays, EVS, SVS or CVS;
- c) the operator has established and documented the procedures for the use of, and training requirements for, automatic landing systems, a HUD or equivalent displays, EVS, SVS or CVS.

Note 1.— Guidance on safety risk assessments is contained in the Safety Management Manual (SMM) (Doc 9859).

Note 2.— Guidance on operational approvals is contained in Attachment I.

...

Editorial note.— Insert new paragraph 6.24 as follows:

6.24 Electronic flight bags (EFBs)

Note. — Guidance on EFB equipment, functions and operational approval is contained in the Manual on Electronic Flight Bags (Doc xxxx).

6.24.1 EFB equipment

6.24.1.1 Where portable EFBs are used on board, the operator shall ensure that they do not affect the performance of the aeroplane systems, equipment or the ability to operate the aeroplane.

6.24.2 EFB functions

6.24.2.1 Where EFBs are used on board an aeroplane the operator shall:

- a) assess the safety risk(s) associated with each EFB function;
- b) establish and document the procedures for the use of, and training requirements for, the device and each EFB function; and
- c) ensure that, in the event of an EFB failure, sufficient information is readily available to the flight crew for the flight to be conducted safely.

Note.— Guidance on safety risk assessments is contained in the Safety Management Manual (SMM) (Doc 9859).

6.24.2.2 The State of the Operator shall approve the operational use of EFB functions to be used for the safe operations of aeroplanes.

6.24.3 EFB operational approval

6.24.3.1 In approving the use of EFBs, the State of the Operator shall ensure that:

- a) the EFB equipment and its associated installation hardware, including interaction with aeroplane systems if applicable, meet the appropriate airworthiness certification requirements;
- b) the operator has assessed the safety risks associated with the operations supported by the EFB function(s);
- c) the operator has established requirements for redundancy of the information (if appropriate) contained in and displayed by the EFB function(s);
- d) the operator has established and documented procedures for the management of the EFB function(s) including any database it may use; and
- e) the operator has established and documented the procedures for the use of, and training requirements for, the EFB and the EFB function(s).

Note. — Guidance on safety risk assessments is contained in the Safety Management Manual (SMM) (Doc 9859).

End of new text.

...

CHAPTER 9. AEROPLANE FLIGHT CREW

...

9.3 Flight crew member training programmes

9.3.1 An operator shall establish and maintain a ground and flight training programme, approved by the State of the Operator, which ensures that all flight crew members are adequately trained to perform their assigned duties. The training programme shall:

- a) include ground and flight training facilities and properly qualified instructors as determined by the State of the Operator;
- b) consist of ground and flight training in the type(s) of aeroplane on which the flight crew member serves;
- c) include proper flight crew coordination and training in all types of emergency and abnormal situations or procedures caused by engine, airframe or systems malfunctions, fire or other abnormalities;
- d) include upset prevention and recovery training;
- e) include training in knowledge and skills related to visual and instrument flight procedures for the intended area of operation, charting, human performance including threat and error management and in the transport of dangerous goods;
- f) ensure that all flight crew members know the functions for which they are responsible and the relation of these functions to the functions of other crew members, particularly in regard to abnormal or emergency procedures; and
- g) be given on a recurrent basis, as determined by the State of the Operator and shall include an assessment of competence.

Note 1.— Paragraph 4.2.5 prohibits the in-flight simulation of emergency or abnormal situations when passengers or cargo are being carried.

...

Note 5.— Provisions for training in the transport of dangerous goods are contained in Annex 18. For more information on dangerous goods operational requirements see Chapter 14.

...

Note 10.— Procedures for upset prevention and recovery training in a flight simulation training device are contained in the Procedures for Air Navigation Services — Training (PANS-TRG, Doc 9868).

Note 11.— Guidance on upset prevention and recovery training in a flight simulation training device is contained in the Manual on Aeroplane Upset Prevention and Recovery Training (Doc 10011).

...

CHAPTER 12. CABIN CREW

...

12.4 Training

An operator shall establish and maintain a training programme, approved by the State of the Operator, to be completed by all persons before being assigned as a cabin crew member. Cabin crew members shall complete a recurrent training programme annually. These training programmes shall ensure that each person is:

...

Note 2.— For more information on dangerous goods operational requirements see Chapter 14.

Note 23.— Guidance material to design training programmes to develop knowledge and skills in human performance can be found in the Human Factors Training Manual (Doc 9683).

...

CHAPTER 13. SECURITY*

...

13.2 Security of the flight crew compartment

...

13.2.2 ~~From 1 November 2003, all~~ All passenger-carrying aeroplanes of a maximum certificated take-off mass in excess of 45 500 kg or with a passenger seating capacity greater than 60 shall be equipped with an approved flight crew compartment door that is designed to resist penetration by small arms fire and grenade shrapnel, and to resist forcible intrusions by unauthorized persons. This door shall be capable of being locked and unlocked from either pilot's station.

...

Editorial note.— Insert new Chapter 14 as follows:

CHAPTER 14. DANGEROUS GOODS

14.1 State Responsibilities

Note 1.— Annex 18, Chapter 11, contains requirements for each Contracting State to establish oversight procedures for all entities (including packers, shippers, ground handling agents and operators) performing dangerous goods functions.

Note 2.— Operator responsibilities for the transport of dangerous goods are contained in Chapters 8, 9 and 10 of Annex 18. Part 7 of the Technical Instructions for the Safe Transport of Dangerous Goods by Air (Doc 9284) (Technical Instructions) contains the operator's responsibilities and requirements for incident and accident reporting.

Note 3.— The requirements pertaining to crew members or passengers carrying dangerous goods on aircraft are set forth in Part 8, Chapter 1, of the Technical Instructions.

Note 4.— COMAT that meets the classification criteria of the Technical Instructions for dangerous goods are considered cargo and must be transported in accordance with Part 1;2.2.2 or Part 1;2.2.3 of the Technical Instructions (e.g. aircraft parts such as chemical oxygen generators, fuel control units, fire extinguishers, oils, lubricants, cleaning products).

14.2 Operators with no operational approval to transport dangerous goods as cargo

The State of the Operator shall ensure that operators not approved to transport dangerous goods have:

- a) established a dangerous goods training programme that meets the requirements of Annex 18, the applicable requirements of the Technical Instructions, Part 1, Chapter 4 and the requirements of the State's regulations, as appropriate. Details of the dangerous goods training programme shall be included in the operator's operations manuals;
- b) established dangerous goods policies and procedures in its operations manual to meet, at a minimum, the requirements of Annex 18, the Technical Instructions and the State's regulations to allow operator personnel to:
 - 1) identify and reject undeclared dangerous goods, including COMAT classified as dangerous goods; and
 - 2) report to the appropriate authorities of the State of the Operator and the State in which it occurred any:
 - a) occasions when undeclared dangerous goods are discovered in cargo or mail; and
 - b) dangerous goods accidents and incidents.

14.3 Operators transporting dangerous goods as cargo

The State of the Operator shall approve the transport of dangerous goods and ensure that the operator:

- a) establishes a dangerous goods training programme that meets the requirements in the Technical Instructions, Part 1, Chapter 4, Table 1-4 and the requirements of the State regulations, as appropriate. Details of the dangerous goods training programme shall be included in the operator's operations manuals.
- b) establishes dangerous goods policies and procedures in its operations manual to meet, at a minimum, the requirements of Annex 18, the Technical Instructions and the State's regulations to enable operator personnel to:
 - 1) identify and reject undeclared or misdeclared dangerous goods, including COMAT classified as dangerous goods;
 - 2) report to the appropriate authorities of the State of the Operator and the State in which it occurred any;

- a) occasions when undeclared or misdeclared dangerous goods are discovered in cargo or mail; and
 - b) dangerous goods accidents and incidents;
- 3) report to the appropriate authorities of the State of the Operator and the State of Origin any occasions when dangerous goods are discovered to have been carried;
- a) when not loaded, segregated, separated or secured in accordance with the Technical Instructions Part 7, Chapter 2; and
 - b) without information having been provided to the pilot-in-command;
- 4) accept, handle, store, transport, load and unload dangerous goods, including COMAT classified as dangerous goods as cargo on board an aircraft; and
- 5) provide the pilot-in-command with accurate and legible written or printed information concerning dangerous goods that are to be carried as cargo.

Note.— Article 35 of the Convention refers to certain classes of cargo restrictions.

14.4 Provision of information

The operator shall ensure that all personnel, including third-party personnel, involved in the acceptance, handling, loading and unloading of cargo are informed of the operator's operational approval and limitations with regard to the transport of dangerous goods.

14.5 Domestic commercial air transport operations

Recommendation.— International Standards and Recommended Practices set forth in this chapter should be applied by all Contracting States also in the case of domestic commercial air transport operations.

Note.— Annex 18 contains a similar provision in this regard.

End of new text.

...

APPENDIX 2. ORGANIZATION AND CONTENTS OF AN OPERATIONS MANUAL

(See Chapter 4, 4.2.3.1)

1. Organization

...

~~1.1 Recommendation.— An operations manual, which may be issued in separate parts corresponding to specific aspects of operations, provided in accordance with Chapter 4, 4.2.3.1 should be organized with the following structure:~~

- ~~— a) General;~~
- ~~— b) Aircraft operating information;~~
- ~~— c) Areas, routes and aerodromes; and~~
- ~~— d) Training.~~

1.12 From 1 January 2006, an An operations manual, which may be issued in separate parts corresponding to specific aspects of operations, provided in accordance with Chapter 4, 4.2.3.1 shall be organized with the following structure:

- a) General;
- b) Aircraft operating information;
- c) Areas, routes and aerodromes; and
- d) Training.

...

2. Contents

The operations manual referred to in 1.1 and 1.2 shall contain at the least the following:

...

2.1.35 Information and instructions on the carriage of dangerous goods, in accordance with Chapter 14, including action to be taken in the event of an emergency.

...

2.1 General

...

2.1.39 Instructions and training requirements for the use of the EFB, as applicable.

...

APPENDIX 6. AIR OPERATOR CERTIFICATE (AOC)

...

3. Operations specifications for each aircraft model

...

OPERATIONS SPECIFICATIONS (subject to the approved conditions in the operations manual)				
...				
SPECIAL AUTHORIZATIONS	YES	NO	SPECIFIC APPROVALS ⁹	REMARKS
Dangerous goods	<input type="checkbox"/>	<input type="checkbox"/>		
Low visibility operations				
Approach and landing	<input type="checkbox"/>	<input type="checkbox"/>	CAT ¹⁰ : _____ RVR: _____ m DH: _____ ft	
Take-off	<input type="checkbox"/>	<input type="checkbox"/>	RVR ¹¹ : _____ m	
Operational credit(s)	<input type="checkbox"/>	<input type="checkbox"/>	¹²	
RVSM ¹²⁻¹³ <input type="checkbox"/> N/A	<input type="checkbox"/>	<input type="checkbox"/>		
EDTO ¹³⁻¹⁴ <input type="checkbox"/> N/A	<input type="checkbox"/>	<input type="checkbox"/>	Threshold time ¹⁴⁻¹⁵ : _____ minutes Maximum diversion time ¹⁴⁻¹⁵ : _____ minutes	
Navigation specifications for PBN operations ¹⁵⁻¹⁶	<input type="checkbox"/>	<input type="checkbox"/>		¹⁶⁻¹⁷
Continuing airworthiness	<input type="checkbox"/>	<input type="checkbox"/>	¹⁷⁻¹⁸	
EFB	<input type="checkbox"/>	<input type="checkbox"/>	¹⁹	
Other ¹⁸⁻²⁰	<input type="checkbox"/>	<input type="checkbox"/>		

Notes.—

...

10. *Insert the applicable precision approach category (CAT I, II, IIIA, IIIB or IIIC). Insert the minimum RVR in metres and decision height in feet. One line is used per listed approach category.*

...

12. *List the airborne capabilities (i.e. automatic landing, HUD, EVS, SVS, CVS) and associated operational credit(s) granted.*

...

19. *List the EFB functions with any applicable limitations.*

...

APPENDIX 8. FLIGHT RECORDERS

(Note. — See Chapter 6, 6.3)

...

1. General requirements

1.1 ~~The Non-deployable~~ flight recorder containers shall:

- a) be painted a distinctive orange or yellow colour;
- b) carry reflective material to facilitate their location; and
- c) have securely attached an automatically activated underwater locating device operating at a frequency of 37.5 kHz. At the earliest practicable date, but not later than 1 January 2018, this device shall operate for a minimum of 90 days.

Note.— Current industry practice is to phase out yellow flight recorder containers at the end of the service life of the flight recorder.

1.2 Automatic deployable flight recorder containers shall:

- a) be painted a distinctive orange colour, however the surface visible from outside the aircraft may be of another colour;
- b) carry reflective material to facilitate their location; and
- c) have an integrated automatically activated ELT.

Editorial note.— Renumber subsequent paragraphs.

...

2. Flight Data Recorder (FDR)

...

2.2.2.5 The following parameters shall satisfy the requirements for operation:

...

~~*Note 1.— Parameter guidance for range, sampling, accuracy and resolution are as contained in the EUROCAE ED 112, Minimum Operational Performance Specification (MOPS) for Crash Protected Airborne Recorder Systems, or equivalent documents.*~~

Editorial note.— Renumber subsequent Notes accordingly.

...

4. Airborne image recorder (AIR) and airborne image recording system (AIRS)

4.1 Classes

4.1.1 A Class A AIR or AIRS captures the general cockpit area in order to provide data supplemental to conventional flight recorders.

...

Note 2.— There are no provisions for Class A AIRs AIR or AIRS in this document.

4.1.2 A Class B AIR or AIRS captures data link message displays.

4.1.3 A Class C AIR or AIRS captures instruments and control panels.

Note.— A Class C AIR or AIRS may be considered as a means for recording flight data where it is not practical or is prohibitively expensive to record on an FDR or an ADRS, or where an FDR is not required.

4.2 Operation

The AIR or AIRS must start to record prior to the aeroplane moving under its own power and record continuously until the termination of the flight when the aeroplane is no longer capable of moving under its own power. In addition, depending on the availability of electrical power, the AIR or AIRS must start to record as early as possible during the cockpit checks prior to engine start at the beginning of the flight until the cockpit checks immediately following engine shutdown at the end of the flight.

...

7. Inspections of flight recorder systems

7.1 Prior to the first flight of the day, the built-in test features for the flight recorders and flight data acquisition unit (FDAU), when installed, shall be monitored by manual and/or automatic checks.

7.2 FDR systems or ADRS, CVR systems or CARS and AIR systems or AIRS shall have recording system inspection intervals of one year; subject to the approval from the appropriate regulatory authority, this period may be extended to two years provided these systems have demonstrated a high integrity of serviceability and self-monitoring. DLR systems or DLRS shall have recording system inspection intervals of two years; subject to the approval from the appropriate regulatory authority, this period may be extended to four years provided these systems have demonstrated high integrity of serviceability and self-monitoring.

7.2-3 ~~Annual~~ Recording system inspections shall be carried out as follows:

- a) an analysis of the recorded data from the flight recorders shall ensure that the recorder operates correctly for the nominal duration of the recording;
- b) the analysis of the FDR or the ADRS shall evaluate the quality of the recorded data to determine if the bit error rate (including those errors introduced by recorder, the acquisition unit, the source of the data on the aeroplane and by the tools used to extract the data from the recorder) is within acceptable limits and to determine the nature and distribution of the errors;
- c) a complete flight from the FDR or the ADRS shall be examined in engineering units to evaluate

the validity of all recorded parameters. Particular attention shall be given to parameters from sensors dedicated to the FDR or the ADRS. Parameters taken from the aircraft's electrical bus system need not be checked if their serviceability can be detected by other aircraft systems;

- d) the readout facility shall have the necessary software to accurately convert the recorded values to engineering units and to determine the status of discrete signals;
- e) an ~~annual~~ examination of the recorded signal on the CVR or the CARS shall be carried out by replay of the CVR or CARS recording. While installed in the aircraft, the CVR or CARS shall record test signals from each aircraft source and from relevant external sources to ensure that all required signals meet intelligibility standards;
- f) where practicable, during the ~~annual~~ examination, a sample of in-flight recordings of the CVR or CARS shall be examined for evidence that the intelligibility of the signal is acceptable; and
- g) an ~~annual~~ examination of the recorded images on the AIR or AIRS shall be carried out by replay of the AIR or AIRS recording. While installed in the aircraft, the AIR or AIRS shall record test images from each aircraft source and from relevant external sources to ensure that all required images meet recording quality standards.

~~7.3-4~~ Flight—A flight recorder systems—system shall be considered unserviceable if there is a significant period of poor quality data, unintelligible signals, or if one or more of the mandatory parameters is not recorded correctly.

~~7.4-5~~ A report of the ~~annual~~ recording system inspection shall be made available on request to regulatory authorities for monitoring purposes.

~~7.5-6~~ Calibration of the FDR system:

...

ATTACHMENT I. HEAD-UP DISPLAYS (HUD), EQUIVALENT DISPLAYS AND ENHANCED VISION SYSTEMS (EVS)

Supplementary to Chapter 4, 4.2.8.1, and Chapter 6, 6.23

*Editorial note.— Delete Attachment I in toto
and replace by the following new text:*

Introduction

The material in this attachment provides guidance for certified HUD and vision systems intended for operational use in aircraft engaged in international air navigation. A HUD, vision systems and hybrid systems may be installed and operated to provide guidance, enhance situational awareness and/or to obtain an operational credit by establishing minima below the aerodrome operating minima, for approach ban purposes, or reducing the visibility requirements or requiring fewer ground facilities as compensated for by airborne capabilities. HUD and vision systems may be installed separately or together as part of a hybrid system. Any operational credit to be obtained from their use require approval from the State of the Operator.

Note 1.— “Vision systems” is a generic term referring to the existing systems designed to provide images, i.e. enhanced vision systems (EVS), synthetic vision systems (SVS) and combined vision systems (CVS).

Note 2.— Operational credit can be granted only within the limits of the design approval.

Note 3.— Currently, operational credit has been given only to vision systems containing an image sensor providing a real-time image of the actual external scene on the HUD.

1. HUD and equivalent displays

1.1 General

1.1.1 A HUD presents flight information into the pilot's forward external field of view without significantly restricting that external view.

1.1.2 A variety of flight information may be presented on a HUD depending on the intended flight operation, flight conditions, systems capabilities and operational approval. A HUD may include, but is not limited to, the following:

- a) airspeed;
- b) altitude;
- c) heading;
- d) vertical speed;
- e) angle of attack;
- f) flight path or velocity vector;
- g) attitude with bank and pitch references;
- h) course and glide path with deviation indications;
- i) status indications (e.g. navigation sensor, autopilot, flight director); and
- j) alerts and warning displays (e.g. ACAS, wind shear, ground proximity warning).

1.2 Operational applications

1.2.1 Flight operations with a HUD can improve situational awareness by combining flight information located on head-down displays with the external view to provide pilots with more immediate awareness of relevant flight parameters and situation information while they continuously view the external scene. This improved situational awareness can also reduce errors in flight operations and improve the pilot's ability to transition between instrument and visual references as meteorological conditions change. Flight operations applications may include the following:

- a) enhanced situational awareness during all flight operations, but especially during taxi, take-off, approach and landing;
- b) reduced flight technical error during take-off, approach and landing; and

- c) improvements in performance due to precise prediction of touchdown area, tail strike awareness/warning and rapid recognition of and recovery from unusual attitudes.

1.2.2 A HUD may be used for the following purposes:

- a) to supplement conventional flight deck instrumentation in the performance of a particular task or operation. The primary cockpit instruments remain the primary means for manually controlling or manoeuvring the aircraft; and
- b) as a primary flight display;
 - i) information presented by the HUD may be used by the pilot in lieu of scanning head-down displays. Operational approval of a HUD for such use allows the pilot to control the aircraft by reference to the HUD for approved ground or flight operations; and
 - ii) information presented by the HUD may be used as a means to achieve additional navigation or control performance. The required information is displayed on the HUD. Operational credit, in the form of lower minima, for a HUD used for this purpose may be approved for a particular aircraft or automatic flight control system. Additional credit may also be allowed when conducting HUD operations in situations where automated systems are otherwise used.

1.2.3 A HUD, as a stand-alone system, may qualify for operations with reduced visibility or RVR or replace some parts of the ground facilities such as touchdown zone and/or centre line lights. Examples and references to publications in this regard can be found in the *Manual of All-Weather Operations* (Doc 9365).

1.2.4 A HUD equivalent display is one that has at least the following characteristics; a head-up presentation not requiring transition of visual attention from head down to head up; displays sensor-derived imagery conformal with the pilots external view; permits simultaneous view of the EVS sensor imagery, required aircraft flight symbology, and the external view; and display characteristics and dynamics are suitable for manual control of the aircraft. Before such systems can be used, the appropriate airworthiness and operational approvals must be obtained.

1.3 HUD training

1.3.1 Training requirements should be established, monitored and approved by the State of the Operator. Training requirements should include requirements for recent experience if the State determines that these requirements are significantly different than the current requirements for the use of conventional head-down instrumentation.

1.3.2 HUD training should address all flight operations for which the HUD is designed and operationally approved. Some training elements may require adjustments based on whether the aeroplane has a single or dual HUD installation. Training should include contingency procedures required in the event of head-up display degradation or failure. HUD training should include the following elements as applicable to the intended use:

- a) an understanding of the HUD, its flight path, energy management concepts and symbology. This should include operations during critical flight events (e.g. ACAS Traffic Advisory/Resolution Advisory, upset and wind shear recovery, engine or system failure);

- b) HUD limitations and normal procedures, including maintenance and operational checks performed to ensure normal system function prior to use. These checks include pilot seat adjustment to attain and maintain appropriate viewing angles and verification of HUD operating modes;
- c) HUD use during low visibility operations, including taxi, take-off, instrument approach and landing in both day and night conditions. This training should include the transition from head-down to head-up and head-up to head-down operations;
- d) failure modes of the HUD and the impact of the failure modes or limitations on crew performance;
- e) crew coordination, monitoring and verbal call-out procedures for single HUD installations with head-down monitoring for the pilot not equipped with a HUD and head-up monitoring for the pilot equipped with a HUD;
- f) crew coordination, monitoring and verbal call-out procedures for dual HUD installations with use of a HUD by the pilot flying the aircraft and either head-up or head-down monitoring by the other pilot;
- g) consideration of the potential for loss of situational awareness due to "tunnel vision" (also known as cognitive tunnelling or attention tunnelling);
- h) any effects that weather, such as low ceilings and visibilities, may have on the performance of a HUD; and
- i) HUD airworthiness requirements.

2. Vision systems

2.1 General

2.1.1 Vision systems can display electronic real-time images of the actual external scene achieved through the use of image sensors (EVS) or display synthetic images, which are derived from the on-board avionic systems (SVS). Vision systems can also consist of a combination of these two systems or combined vision systems (CVS). Such a system may display electronic real-time images of the external scene using the EVS component of the system. However, the merging of EVS and SVS into a CVS is dependent on the intended function (e.g. whether or not there is intent to achieve operational credit).

2.1.2 The information from vision systems may be displayed on a head-up or head-down display. When enhanced vision imagery is displayed on a HUD, it should be presented to the pilot's forward external field of view without significantly restricting that external view.

2.1.3 The enhanced position fixing and guidance provided by SVS may provide additional safety for all phases of flight especially low visibility taxi, take-off, approach and landing operations.

2.1.4 Light emitting diode (LED) lights may not be visible to infrared-based vision systems due to the fact that LED lights are not incandescent and they do not have a significant heat signature. Operators of such vision systems will need to acquire information about the LED implementation programmes at aerodromes where they operate.

2.2 Operational applications

2.2.1 Flight operations with enhanced vision image sensors allow the pilot to view an image of the external scene obscured by darkness or other visibility restrictions. When the external scene is partially obscured, enhanced vision imaging may allow the pilot to acquire an image of the external scene earlier than with natural or unaided vision. The improved acquisition of an image of the external scene may improve situational awareness.

2.2.2 Vision system imagery may also allow pilots to detect terrain or obstructions on the runway or taxiways. A vision system image can also provide visual cues to enable earlier runway alignment and a more stabilized approach.

2.2.3 The combined display of aircraft performance, guidance and imagery may allow the pilot to maintain a more stabilized approach and smoothly transition from enhanced visual references to natural visual references.

2.3 Vision systems training

2.3.1 Training requirements should be established, monitored and approved by the State of the Operator. Training requirements should include recency of experience requirements if the State of the Operator determines that these requirements are significantly different than the current requirements for the use of a HUD without enhanced vision imagery or conventional head-down instrumentation.

2.3.2 Training should address all flight operations for which the vision system is approved. This training should include contingency procedures required in the event of system degradation or failure. Training for situational awareness should not interfere with other required operations. Training for operational credit should also require training on the applicable HUD used to present the enhanced visual imagery. Training should include the following elements as applicable:

- a) an understanding of the system characteristics and operational constraints;
- b) normal procedures, controls, modes and system adjustments (e.g. sensor theory including radiant versus thermal energy and resulting images);
- c) operational constraints, normal procedures, controls, modes, and system adjustments;
- d) limitations;
- e) airworthiness requirements;
- f) vision system display during low visibility operations, including taxi, take-off, instrument approach and landing; system use for instrument approach procedures in both day and night conditions;
- g) failure modes and the impact of failure modes or limitations upon crew performance, in particular, for two-pilot operations;
- h) crew coordination and monitoring procedures and pilot call-out responsibilities;
- i) transition from enhanced imagery to visual conditions during runway visual acquisition;

- j) rejected landing: with the loss of visual cues of the landing area, touchdown zone or rollout area;
- k) any effects that weather, such as low ceilings and visibilities, may have on the performance of the vision system; and
- l) effects of aerodrome lighting using LED lights.

2.4 Operational concepts

2.4.1 Instrument approach operations that involve the use of vision systems include the instrument phase and the visual phase. The instrument phase ends at the published MDA/H or DA/H unless a missed approach is initiated. The continued approach to landing from MDA/H or DA/H will be conducted using visual references. The visual references will be acquired by use of an EVS or CVS, natural vision or a combination of the two.

2.4.2 Down to a defined height, typically 30 m (100 ft), the visual references will be acquired by means of the vision system. Below this height the visual references should be solely based on natural vision. In the most advanced applications, the vision system is expected to be able to be used down to touchdown without the requirement for natural vision acquisition of visual references. Using the EVS or CVS does not change the classification of an instrument approach procedure, since the published DA/H remains unchanged and manoeuvring below DA/H is conducted by visual references acquired by means of the an EVS or CVS.

2.4.3 In addition to the operational credit that EVS/CVS is able to provide, these systems may also provide an operational and safety advantage through improved situational awareness, earlier acquisition of visual references and smoother transition to references by natural vision. These advantages are more pronounced for Type A approach operations than for Type B approach operations.

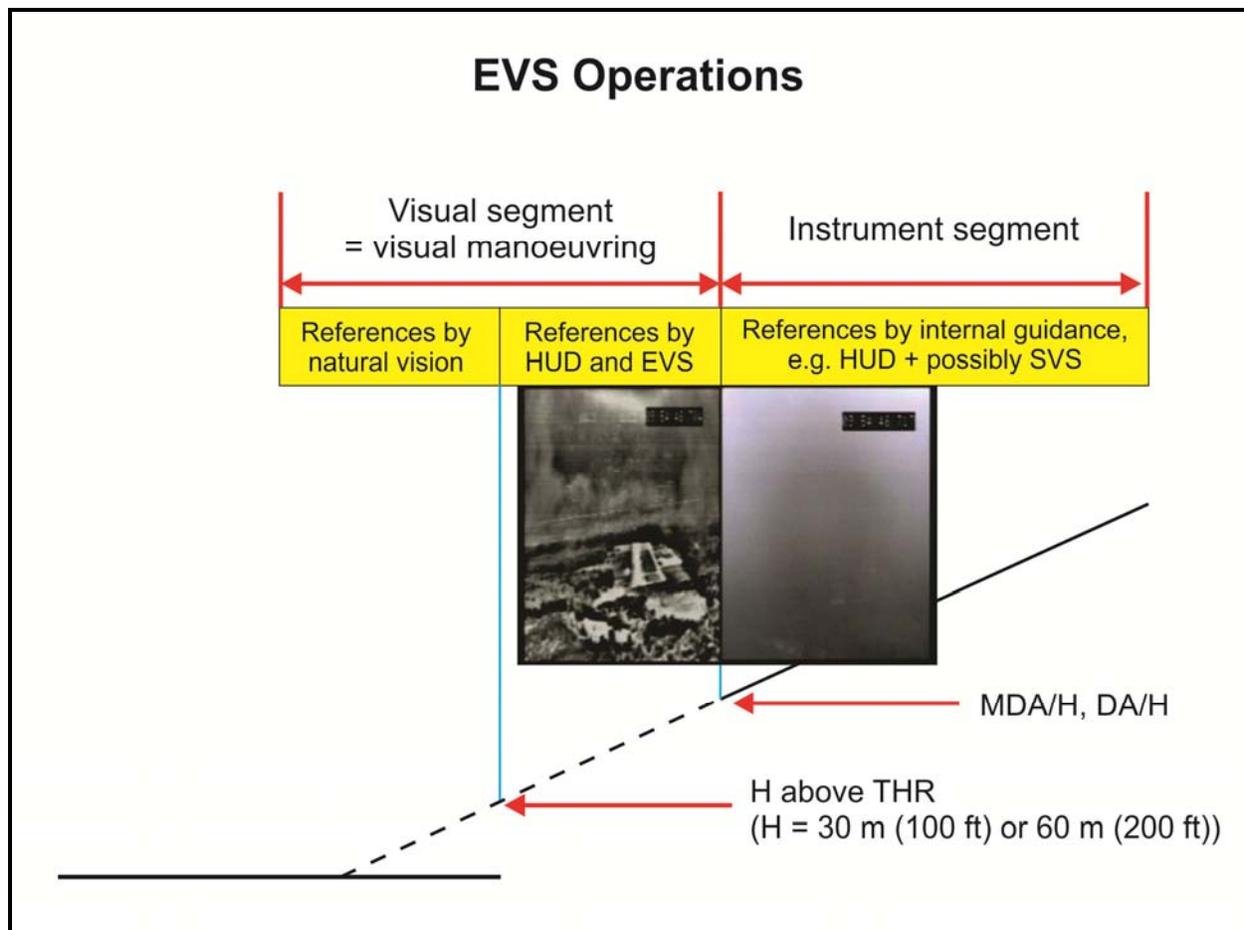


Figure I-1. EVS operations — transition from instrument to visual references

2.5 Visual references

2.5.1 The required visual references do not change due to the use of an EVS or CVS, but those references are allowed to be acquired by means of either vision system until a certain height during the approach.

2.5.2 In regions that have developed requirements for operations with vision systems, the visual references are indicated in Figure J-1.

Table I-1.

OPERATIONS BELOW DA/DH OR MDA/MDH	
Example 1	Example 2
<p>For procedures designed to support Type A operations, the following visual references for the intended runway must be distinctly visible and identifiable:</p> <ul style="list-style-type: none"> • the approach lighting system; or • the runway threshold, identified by at least one of the following: <ul style="list-style-type: none"> – the beginning of the runway landing surface; – threshold lights; or – runway end identifier lights; and • the touchdown zone, identified by at least one of the following: <ul style="list-style-type: none"> – the runway touchdown zone landing surface; – touchdown zone lights; – touchdown zone markings; or – runway lights. 	<p>For procedures designed to support 3D Type A and Type B Cat I operations, the following visual references should be displayed and identifiable to the pilot on the EVS image:</p> <ul style="list-style-type: none"> • elements of the approach lighting system; or • the runway threshold, identified by at least one of the following: <ul style="list-style-type: none"> – the beginning of the runway landing surface; – threshold lights; – threshold identification lights; or – the touchdown zone, identified by at least one of the following: <ul style="list-style-type: none"> ▪ the runway touchdown zone landing surface; ▪ touchdown zone lights ▪ touchdown zone markings; or ▪ runway lights.
Operations below 60 m (200 ft) above touchdown zone elevation –	Operations below 60 M (200 ft) above threshold elevation –
No additional requirements apply at 60 m (200 ft).	For procedures designed to support 3D Type A operations, the visual references are the same as those specified below for Type B Cat I operations.
Operations below 30 m (100 ft) above touchdown zone elevation –	Operations below 30 m (100 ft) above threshold elevation –
<p>The visibility must be sufficient for the following to be distinctly visible and identifiable to the pilot without reliance on the EVS:</p> <ul style="list-style-type: none"> • the lights or markings of the threshold; or • the lights or markings of the touchdown zone. 	<p>For procedures designed to support Type B Cat II operations, at least one of the visual references specified below should be distinctly visible and identifiable to the pilot without reliance on the EVS:</p> <ul style="list-style-type: none"> • the lights or markings of the threshold; or • the lights or markings of the touchdown zone.

3. Hybrid systems

3.1 A hybrid system generically means that two or more systems are combined. The hybrid system typically has improved performance compared to each of the component systems, which in turn may qualify for operational credit. Vision systems are normally part of a hybrid system, e.g. EVS is typically combined with a HUD. Including more components in the hybrid system normally enhances the performance of the system.

3.2 Table J-2 provides some examples of hybrid system components. Any combination of the listed systems may constitute a hybrid system. The degree of operational credit that may be given to a hybrid system depends on its performance (accuracy, integrity and availability) as assessed and determined by the certification and operational approval processes.

Table I-2. Examples of hybrid system components

Systems based on image sensors	Systems not based on image sensors
EVS	SVS
<ul style="list-style-type: none"> • Passive infrared sensors • Active infrared sensors • Passive millimetre wave radiometer • Active millimetre wave radar 	Auto-flight systems, flight control computers, automatic landing systems
	Systems for position fixing
CVS (where the EVS component as above qualifies for operational credit)	CVS (the SVS component)
	HUD, equivalent display
	ILS, GNSS

4. Operational credits

4.1 Aerodrome operating minima are expressed in terms of minimum visibility/RVR and MDA/H or DA/H. With respect to operational credit this means that the visibility/RVR requirements, established in the instrument approach procedure, may be reduced or satisfied for aircraft equipped with appropriately approved vision systems such as EVS. Reasons for granting operational credit may be when aircraft are better equipped than what was originally considered when designing the instrument approach procedure or when runway visual aids considered in the design of the procedure are not available but can be compensated by on-board equipment.

4.2 Credits related to visibility/RVR can be given using at least three concepts. The first concept is to reduce the required RVR which will allow the aircraft to continue the approach beyond the approach ban point with a reported RVR lower than what was established for the approach procedure. Where a minimum visibility is prescribed, a second concept to grant operational credit may be used. In this case, the required minimum visibility is kept unchanged, but it is satisfied by means of the on-board equipment, typically an EVS. The result of both these concepts is that operations are allowed in meteorological conditions where otherwise they would not be possible. A third concept is to give operational credit by allowing operations in visibility/RVR which are not lower than those established for the approach procedure, but the approach operation is conducted with less facilities on the ground. One example of the latter is to allow category II operations without touchdown and/or centre line lights, compensated by additional on-board equipment, e.g. a HUD.

4.3 Granting operational credits does not affect the classification of an instrument approach procedure since, as described in Standard 4.2.8.3, instrument approach procedures are designed to support a given instrument approach operation (i.e. Type, Category). However, the design of those procedures may not take into consideration on-board equipment that may compensate for facilities on the ground.

4.4 In order to provide optimum service, the ATS may have to be informed about the capabilities of better-equipped aircraft, e.g. which is the minimum RVR required.

4.5 In addition to the operational credit that a HUD, vision systems and hybrid systems are able to provide, these systems will also provide an operational and safety advantage through improved situational awareness, earlier acquisition of visual references and smoother transition to references by natural vision. These advantages are more pronounced for 3D Type A approach operations than for Type B approach operations.

5. Operational procedures

5.1 It is not prohibited to use vision systems in connection with circling. However, due to the system layout of a vision system and the nature of a circling procedure, key visual references can be obtained only by natural vision, and operational credit is not feasible for existing vision systems. The vision system may provide additional situational awareness.

5.2 The operational procedures associated with the use of a HUD, vision systems and hybrid systems should be included in the operations manual. The instructions in the operations manual should include:

- a) any limitation that is imposed by the airworthiness or operational approvals;
- b) how operational credit affects:
 - 1) flight planning with respect to destination and alternate aerodromes;
 - 2) ground operations;
 - 3) flight execution, e.g. approach ban and minimum visibility;
 - 4) crew resource management that takes into account the equipment configuration, e.g. the pilots may have different presentation equipment;
 - 5) standard operating procedures, e.g. use of auto-flight systems, call-outs that may be particular to the vision system or hybrid system, criteria for stabilized approach;
 - 6) ATS flight plans and radio communication.

6. Approvals

6.1 General

6.1.1 An operator that wishes to conduct operations with a HUD or equivalent display, vision system or hybrid system will need to obtain certain approvals (i.e. 4.2.8.1.1 and 6.23). The extent of the approvals will depend on the intended operation and the complexity of the equipment.

6.1.2 Enhanced vision imagery may be used to improve situational awareness without a specific operational approval. However, the standard operating procedures for these types of operations need to be specified in the operations manual. An example of this type of operation may include an EVS or an SVS on a head-down display that is used only for situational awareness of the surrounding area of the aircraft during ground operations where the display is not in the pilot's primary field of view. For enhanced situational awareness, the installation and operational procedures need to ensure that the operation of the vision system does not interfere with normal procedures or the operation or use of other aircraft systems. In some cases, modifications to these normal procedures for other aircraft systems or equipment may be necessary to ensure compatibility.

6.1.3 When a vision system or a hybrid system with vision systems imagery is used for operational credit, operational approvals will typically require that the imagery be combined with flight guidance and presented on a HUD. Operational approvals may require that this information also be presented on a head-down display. Operational credit may be applied for any flight operation, but credit for instrument approach and take-off operations is most common.

6.1.4 When the application for approval relates to operational credits for systems not including a vision system, the guidance in this attachment may be used to the extent applicable as determined by the State of the Operator or the State of Registry for general aviation.

6.1.5 Operators should be aware that some States may require some information about the operational credit(s) which has been granted by the State of the Operator or the State of Registry for GA. Typically the approval from that State will have to be presented, and in some cases the State of the Aerodrome may wish to issue an approval or to validate the original approval.

6.2 Approvals for operational credit

To obtain operational credit the operator will need to specify the desired operational credit and submit a suitable application. The content of a suitable application should include:

- a) *Applicant details — required for all approval requests.* The official name and business or trading name(s), address, mailing address, e-mail address and contact telephone/fax numbers of the applicant.

Note.— For AOC holders, the company name, AOC number and e-mail address should be required.

- b) *Aircraft details — required for all approval requests.* Aircraft make(s), model(s) and registration mark(s).
- c) *Operator's vision system compliance list.* The contents of the compliance list are included in Table J-3. The compliance list should include the information that is relevant to the approval

requested and the registration marks of the aircraft involved. If more than one type of aircraft/fleet is included in a single application a completed compliance list should be included for each aircraft/fleet.

- d) *Documents to be included with the application.* Copies of all documents referred to in column 4 of the operator's vision system compliance list (Table J-3) should be included when returning the completed application form to the civil aviation authority. There should no need to send complete manuals; only the relevant sections/pages should be required.
- e) *Name, title and signature.*

Table I-3. Example of an AOC vision system compliance list

<i>Main heading</i>	<i>Expanded areas to be addressed by the application</i>	<i>Sub-requirements</i>	<i>Operator's operations manual reference or document reference</i>
1.0 Reference documents used in compiling the submission	The submission should be based on current up-to-date regulatory material. A compliance statement showing how the criteria of the applicable regulations and requirements have been satisfied.		
2.0 Aircraft flight manual (AFM)	A copy of the relevant AFM entry showing the aircraft certification basis for the vision system and any operational conditions.		
3.0 Feedback and reporting of significant problems	An outline of the process for the reporting of failures in the operational use of procedures. <i>Note.— In particular, significant problems with the vision system/ HUD systems, reporting on circumstances/locations where the vision system was unsatisfactory.</i>		
4.0 Instrument approach chart provider and operating minima	The name of the provider of the relevant Instrument approach charts. Confirmation that all aerodrome operating minima are established in accordance with the method acceptable to the relevant authority.		
5.0 Operations manual entries and standard operating procedures	Manufacturer/operator developed. Manufacturer's procedures are recommended as a starting point and should include at least the items in the sub-requirements column.	Definitions. Check that crew members are qualified for vision system/HUD operations. MEL handling. Equipment required for vision system operations. Types of approach where vision systems can be used. Statement that the autopilot/flight director	

<i>Main heading</i>	<i>Expanded areas to be addressed by the application</i>	<i>Sub-requirements</i>	<i>Operator's operations manual reference or document reference</i>
		<p>should be used whenever possible. Minimum visual references for landing. Approach ban and RVR. Stabilized approach criteria. Correct seating and eye position. Crew coordination, e.g. duties of the pilot flying and the pilot not flying:</p> <ul style="list-style-type: none"> • limitations; • designation of handling and non-handling pilots; • use of automatic flight control system; • checklist handling; • approach briefing; • radio communications handling; • monitoring and cross-checking of instruments and radio aids; and • use of the repeater display by the pilot not flying. <p>Contingency procedures including:</p> <ul style="list-style-type: none"> • failures above and below decision height; • ILS deviation warnings; • autopilot disconnect; • auto-throttle disconnect; • electrical failures; • engine failure; • failures and loss of visual references at or below decision height; • vision system/HUD failure below normal decision height; • wind shear; • ACAS warnings; • EGPWS warnings. 	
6.0 Safety risk assessment		Operator's safety risk assessment.	

End of new text.

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Editorial note.— Insert a new Attachment K as follows:

ATTACHMENT K. DANGEROUS GOODS

Supplementary to Annex 6, Chapter 14

1. Purpose and scope

The material in this attachment provides guidance regarding the carriage of dangerous goods as cargo. Annex 6, Chapter 14, includes dangerous goods operational requirements that apply to all operators. Operators that are approved to transport dangerous goods as cargo need to meet additional requirements. In addition to the operational requirements contained in Annex 6, there are other requirements in Annex 18 and the Technical Instructions that also need to be complied with.

2. Definitions

Where the following term is used in this attachment, it has the meaning indicated:

Cargo. Any property carried on an aircraft other than mail and accompanied or mishandled baggage.

Note 1.— This definition differs from the definition of “cargo” given in Annex 9 — Facilitation.

Note 2.— COMAT that meets the classification criteria of dangerous goods and which is transported in accordance with Part 1;2.2.2 or Part 1;2.2.3 or Part 1;2.2.4 of the Technical Instructions are considered as “cargo” (e.g. aircraft parts such as chemical oxygen generators, fuel control units, fire extinguishers, oils, lubricants, cleaning products).

3. States

3.1 The State of the Operator should indicate in the operations specification if an operator is approved or is not approved to transport dangerous goods as cargo. When an operator is approved to transport dangerous goods as cargo any limitations should be included.

3.2 An operational approval may be granted for the transport of specific types of dangerous goods only (e.g. dry ice, biological substance, Category B, and dangerous goods in excepted quantities) or COMAT.

3.3 The Supplement to the Technical Instructions contains guidance on a State’s responsibilities with respect to operators. This includes additional information to Part 7 of the Technical Instructions on storage and loading, provision of information, inspections, enforcement and Annex 6 information relevant to the State’s responsibilities for dangerous goods.

3.4 Carriage of dangerous goods other than as cargo (e.g. medical flights, search and rescue) are addressed in Part 1, Chapter 1, of the Technical Instructions. The exceptions for the carriage of dangerous goods that are either equipment or for use on board the aircraft during flight are detailed in Part 1, 2.2.1, of the Technical Instructions.

4. Operator

4.1 An operator's training programme should cover, as a minimum, the aspects of the transport of dangerous goods listed in the Technical Instructions in Table 1-4 for operators holding an approval or Table 1-5 for operators without an approval. Recurrent training must be provided within 24 months of previous training, except as otherwise provided by the Technical Instructions.

4.2 Details of the dangerous goods training programme including the policies and procedures regarding third-party personnel involved in the acceptance, handling, loading and unloading of dangerous goods cargo should be included in the operations manual.

4.3 The Technical Instructions require that operators provide information in the operations manual and/or other appropriate manuals that will enable flight crews, other employees and ground handling agents to carry out their responsibilities with regard to the transport of dangerous goods and that initial training be conducted prior to performing a job function involving dangerous goods.

4.4 Operators should meet and maintain requirements established by the States in which operations are conducted in accordance with Annex 6, 4.2.2.3.

4.5 Operators may seek approval to transport, as cargo, specific dangerous goods only, such as dry ice, biological substance, Category B, COMAT and dangerous goods in excepted quantities.

4.6 Attachment 1 to Part S-7, Chapter 7, of the Supplement to the Technical Instructions contains additional guidance and information on requirements regarding operators not approved to transport dangerous goods as cargo and for operators that are approved to transport dangerous goods as cargo.

4.7 All operators should develop and implement a system that ensures they will remain current with regulatory changes and updates. The Technical Instructions contain detailed instructions necessary for the safe transport of dangerous goods by air. These instructions are issued biennially, becoming effective on 1 January of an odd-numbered year.

End of new attachment.

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— END —